

# OIG Recovery Act Monthly Report

## Monthly Update Report Data (sheet 1 of 4) Version 4.0a

<b>Reporting Entity:</b>	Small Business Administration - OIG	
<b>Month Ending Date:</b>	12/31/2009	

Recovery Act Funds Used on Recovery Act Activity								
No.	Agency / Bureau	Recovery Act TAFS	Award Type	US Indicator	Total Obligations	Total Gross Outlays	Direct or Reimbursable	Ordering TAFS
1	Small Business Administration - OIG	(73-0201 2009 \ 2013) Small Business Administration - OIG - Recovery Act	Other	Y - US	\$313,067	\$313,067	Direct	
2	Small Business Administration - OIG	(73-0201 2009 \ 2013) Small Business Administration - OIG - Recovery Act	Contracts and Orders (including modifications)	Y - US	\$526,433	\$386,566	Direct	
3								
4								
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Non-Recovery Act Funds Used on Recovery Act Activity							
No.	Agency / Bureau	FY 2009 Non-Recovery Act TAFS	Total FY 2009 Obligations	Total FY 2009 Gross Outlays	FY 2010 Non-Recovery Act TAFS	Total FY 2010 Obligations	Total FY 2010 Gross Outlays
1	Small Business Administration - OIG	(73-0200 2009) Small Business Administration - OIG	\$330,300	\$330,300	(73-0200 2010) Small Business Administration - OIG	\$157,001	\$157,001
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## Monthly Update Report Data (sheet 2 of 4) Version 4.0a

Reporting OIG:	Small Business Administration - OIG	
Month Ending Date:	12/31/2009	

FTE Working on Recovery			
Fiscal Year	2009	2010	Cumulative
Newly Hired FTE (cumulative):	0.94	1.25	2.19
FTE Funded by Recovery Act Funds (cumulative):	1.35	1.56	2.91
FTE <u>Not</u> Funded by Recovery Act Funds (cumulative):	3.00	1.33	4.33

Testimonies:	
Provided (monthly):	0
Provided (cumulative):	0

Complaints		Whistleblower Reprisal Allegations		Investigations		Audits / Inspections / Evaluations / Reviews		Training / Outreach	
Monthly Data		Monthly Data		Monthly Data		Monthly Data		Monthly Data	
Received:	17	Received:	0	Opened (this month):	0	Initiated (this month):	1	Training Sessions Provided:	0
		Accepted:	0	Active (as of the end of the month):	0	In Process (as of the end of the month):	6	Individuals Trained:	0
				Pending Decision:	0	Completed Final Published Work Products:	8	Hours of Training Provided:	0
				Closed without Action:	0	Priority Interim Published Work Products:	0	Outreach Sessions Conducted:	0
				Accepted for Prosecution:	0	Unpublished Work Products*:	0		
				Prosecution Denied:	0				
				Referred for Alternative Resolution:	0				
<b>Cumulative Data Since 2/17/2009</b>				<b>Cumulative Data Since 2/17/2009</b>		<b>Cumulative Data Since 2/17/2009</b>		<b>Cumulative Data Since 2/17/2009</b>	
Received:	22	Received:	0	Closed without Action:	1	Completed Final Published Work Products:	12	Training Sessions Provided:	0
		Accepted:	0	Accepted for Prosecution:	0	Priority Interim Published Work Products:	0	Individuals Trained:	0
				Prosecution Denied:	0	Unpublished Work Products*:	0	Hours of Training Provided:	0
				Referred for Alternative Resolution:	0	<b>Cumulative Total:</b>	<b>12</b>	Outreach Sessions Conducted:	3
		<b>Cumulative Total:</b>		<b>1</b>					

\*These work products were not published because they contain proprietary or other sensitive information that cannot be made available to the public.

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## Monthly Update Report Data (sheet 3 of 4) Version 4.0a

<b>Reporting OIG:</b>	Small Business Administration - OIG
<b>Month Ending Date:</b>	12/31/2009

No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Completed/On-Going During Reporting Month)
1	Issued 8 Recovery Act related reports: Recovery Oversight Memorandum (ROM) 10-10 - SBA's Administration of the Microloan Program under the Recovery Act, 12/28/09; ROM 10-09 - Lack of Approval for a Recovery Act Surety Bid Bond Guarantee, 12/15/09; ROM 10-08 - Lack of Controls to Ensure Recovery Act Payroll is Complete and Accurate, 12/15/09; ROM 10-07 - Enhancements Needed in Processing Payroll Funded Under the Recovery Act, 12/15/09; ROM 10-06 - Inadequate Documentation to Support a Loan Approved Under Section 504 of the Recovery Act, 12/15/09; ROM 10-05 - Notice of Finding and Recommendation on Recovery Act Loans Disbursed Without the Required Borrower Immigration Certifications, 12/10/09; ROM 10-04 - Review of Controls Over Job Creation and Retention Statistics Reported by SBA under the American Recovery and Reinvestment Act of 2009, 12/4/09; ROM 10-03 - Notice of Finding and Recommendation on Recovery Act Loans Involving Change of Ownership Transactions, 12/2/09.
2	Awarded a contract for loan examination services to (1) determine whether SBA-guaranteed loans under the Recovery Act were originated and closed in compliance with SBA's policies and procedures and (2) identify any evidence of suspicious activity.
3	Initiated a Review of Early Problem/Defaulted 7(a) Recovery Act Loans.
4	Ongoing reviews as of the end of the month include: (1) Review of SBA's Job Creation Data under the Recovery Act; (2) Audit of 7(a) Loans Disbursed Pursuant to the Recovery Act; (3) Review of Program Statistics Reported by SBA under the Recovery Act; (4) Audit of SBA's Planning and Award of the Customer Relationship Management Contract Awarded Under the Recovery Act; (5) Audit of SBA's Planning and Award of the Wide Area Network Bandwidth Expansion Contract Awarded Under the Recovery Act; and (6) Review of Early Problem/Defaulted 7(a) Recovery Act Loans.
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No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Planned for Next Three Months)
1	See FY 2010 Recovery Act Work Plan available at <a href="http://www.sba.gov/ig/recovery">www.sba.gov/ig/recovery</a> .
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## Monthly Update Report Data (sheet 4 of 4) Version 4.0a

<b>Reporting OIG:</b>	Small Business Administration -	
<b>Month Ending Date:</b>	12/31/2009	

TRAINING ACTIVITIES											
No.	Type of Training	Target Audience	Title of Training	Training Location (City, State)	Date of Training	Length of Training (hours)	Number of Participants	Hours of Training Provided (length x participants)	Cost of Training	Presentation with Other OIGs	Average Evaluation Rating
1								0			
2								0			
3								0			
4								0			
5								0			
6								0			
7								0			
8								0			
9								0			
10								0			
11								0			
12								0			
13								0			
14								0			
15								0			
<b>TOTAL</b>							<b>0</b>	<b>0</b>			

OUTREACH ACTIVITIES					
No.	Organization to which Outreach Provided	Number of Organizations Represented at Outreach Session	Description of Outreach	Outreach Location (City, State)	Date of Outreach
1					
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