

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-18 19:02:48
2. **Agency:** 028
3. **Bureau:** 00
4. **Name of this Investment:** OCIO: Loan Accounting System (LAS)
5. **Unique Project (Investment) Identifier:** 028-00-01-01-01-1004-00
6. **What kind of investment will this be in FY 2011?:** Mixed Life Cycle
  - Planning
  - Full Acquisition
  - Operations and Maintenance
  - Mixed Life Cycle
  - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB? \***
8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

This investment was previously reported under SBA's Office Automation and Technology Infrastructure 300 (OAT/I). This year OAT/I has been modified to reflect OMB guidance and will only cover those item as delineated in the Infrastructure LOB. Subsequently the investment for Loan Accounting is being report separately. SBA's Loan Accounting System (LAS) has been in existence since the 1970's. While state-of-the-art when designed, the application environment now adversely impacts the SBA's ability to rapidly meet the expanding requirements of current and future business needs. The LAS includes 19 subsystems and serves as the principal data processing and data collection tool for SBA's loan servicing, loan monitoring and loan accounting processes. This investment is in steady state and will be subsumed into the LMAS Project. The core of this investment is a 20 year old set of COBOL mainframe applications.

  - a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**
9. **Did the Agency's Executive/Investment Committee approve this request? \***
  - a. **If "yes," what was the date of this approval? \***
10. **Contact information of Program/Project Manager?**
  - **Name:** \*
  - **Phone Number:** \*
  - **Email:** \*
11. **What project management qualifications does the Project Manager have? (per FAC-P/PM)? \***
  - Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
  - Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.

- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

**a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMI compliance area that this investment addresses (choose only one): \***

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMI compliance area;
- Not a core financial system; does not need to comply with FFMI

Section B: Summary of Funding (Budget Authority for Capital Assets)

**1.**

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
<b>SUBTOTAL:</b>	*	*	*	*	*	*	*	*	*
<b>Government FTE Costs should not be included in the amounts provided above.</b>									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
<b>TOTAL(including FTE costs)</b>	*	*	*	*	*	*	*	*	*

**2. If the summary of funding has changed from the FY 2010 President’s Budget request, briefly explain those changes:**

\*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
SBAHQ07C0010	FFP: Firm Fixed Price	Y	2007-05-01	2007-05-01	2012-04-01	\$9.6	*	*	*	*	*
SBAHQ07F0040	FFP: Firm Fixed Price	Y	2007-01-01	2007-01-01	2012-09-01	\$15.8	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? \*

a.If "yes," what is the date? \*

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2009	Ensure that all SBA programs operate at maximum efficiency and effectiveness by providing them with high quality executive leadership and support services	*	*	accurately report the sba current loan portfolio within a day	100%	100%	100%
2009	Ensure that all SBA programs operate at maximum efficiency and effectiveness by providing them with high quality executive leadership and support services	*	*	systems availability to users not including scheduled maintenance	99%	99%	99%
2009	Ensure that all SBA programs operate at maximum efficiency and effectiveness by providing them with high quality executive leadership and support services	*	*	nightly updates completed by 9:00 am	100%	99%	100%
2009	Ensure that all SBA programs operate at maximum efficiency and effectiveness by providing them with high quality executive leadership and support services	*	*	systems will be operational 99% of the time	99%	99%	99%
2010	Ensure that all SBA programs operate at maximum efficiency and effectiveness by providing them with high quality executive leadership and support services	*	*	accurately report the sba current loan portfolio within a day	100%	100%	tbd
2010	Ensure that all SBA programs operate at maximum efficiency and effectiveness by providing them	*	*	systems availability to users not including scheduled maintenance	99%	99%	tbd

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	with high quality executive leadership and support services						
2010	Ensure that all SBA programs operate at maximum efficiency and effectiveness by providing them with high quality executive leadership and support services	*	*	nightly updates completed by 9:00 am	100%	99%	tbd
2010	Ensure that all SBA programs operate at maximum efficiency and effectiveness by providing them with high quality executive leadership and support services	*	*	systems will be operational 99% of the time	99%	99%	tbd
2011	Ensure that all SBA programs operate at maximum efficiency and effectiveness by providing them with high quality executive leadership and support services	*	*	accurately report the sba current loan portfolio within a day	100%	100%	tbd
2011	Ensure that all SBA programs operate at maximum efficiency and effectiveness by providing them with high quality executive leadership and support services	*	*	systems availability to users not including scheduled maintenance	99%	99%	tbd
2011	Ensure that all SBA programs operate at maximum efficiency and effectiveness by providing them with high quality executive leadership and support services	*	*	nightly updates completed by 9:00 am	100%	99%	tbd
2011	Ensure that all SBA programs operate at maximum efficiency and	*	*	systems will be operational 99% of the time	99%	99%	tbd

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	effectiveness by providing them with high quality executive leadership and support services						

**Part II: Planning, Acquisition And Performance Information**

**Section A: Cost and Schedule Performance (All Capital Assets)**

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
PROJ: 503 Colson OCA Program (ARRA) - Project Analysis and Design	*	*	2010-05-03		2010-06-02		0.00%	0.00%
PROJ: 503 Colson OCA Program (ARRA) - Technology Development	*	*	2010-05-03		2011-08-31		0.00%	0.00%
PROJ: 503 Colson OCA Program (ARRA) - User Acceptance Testing - Full Scope	*	*	2010-09-01		2010-09-30		0.00%	0.00%
PROJ: LAS FY2008-2009(O&M-08-09) - FY 09 Q2 Support Activities/Provide operations and maintenance support for the Loan Accounting System	\$1.5	\$1.1	2009-01-01	2009-01-01	2009-03-31	2009-03-31	100.00%	100.00%
PROJ: LAS FY2008-2009(O&M-08-09) - FY 09 Q4 Support Activities/Provide operations and maintenance support for the Loan Accounting System	\$1.5	\$1.5	2009-07-01	2009-07-01	2009-09-30	2009-09-30	100.00%	100.00%
PROJ: LAS FY2008-2009(O&M-08-09) - FY 09 Q3 Support Activities/Provide operations and maintenance support for the Loan Accounting	\$1.5	\$1.6	2009-04-01	2009-04-01	2009-06-30	2009-06-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Syste								
PROJ: LAS FY2008-2009( O&M-08-09) - FY 09 Q1 Support Activities/Prov ide operations and maintenance support for the Loan Accounting Syste	\$1.5	\$1.0	2008-10-01	2008-10-01	2008-12-31	2008-12-31	100.00%	100.00%
PROJ: LAS FY2008-2009( O&M-08-09) - FY 08 Q4 Support Activities/Prov ide operations and maintenance support for the Loan Accounting Syste	\$1.4	\$1.4	2008-07-01	2008-07-01	2008-09-30	2008-09-30	100.00%	100.00%
PROJ: LAS FY2008-2009( O&M-08-09) - FY 08 Q3 Support Activities/Prov ide operations and maintenance support for the Loan Accounting Syste	\$1.4	\$1.4	2008-04-01	2008-04-01	2008-06-30	2008-06-30	100.00%	100.00%
PROJ: LAS FY2008-2009( O&M-08-09) - FY 08 Q2 Support Activities/Prov ide operations and maintenance support for the Loan Accounting Syste	\$1.4	\$1.4	2008-01-01	2008-01-01	2008-03-31	2008-03-31	100.00%	100.00%
PROJ: LAS FY2008-2009( O&M-08-09) - FY 08 Q1 Support Activities/Prov ide operations and maintenance support for the Loan Accounting Syste	\$1.4	\$5.7	2007-10-01	2007-10-01	2007-12-31	2007-12-31	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
PROJ: LAS FY2010(O&M-10) - August FY 2010 Support Activities	*	*	2010-08-02		2010-08-31		0.00%	0.00%
PROJ: LAS FY2010(O&M-10) - June FY 2010 Support Activities	*	*	2010-06-01		2010-06-30		0.00%	0.00%
PROJ: LAS FY2010(O&M-10) - December FY 2010 Support Activities	\$0.5	\$0.5	2009-12-01	2009-12-01	2009-12-31	2009-12-31	100.00%	100.00%
PROJ: LAS FY2010(O&M-10) - November FY 2010 Support Activities	\$0.5	\$0.5	2009-11-02	2009-11-02	2009-12-01	2009-11-30	100.00%	100.00%
PROJ: LAS FY2010(O&M-10) - October FY 2010 Support Activities	\$0.5	\$0.5	2009-10-01	2009-10-01	2009-10-29	2009-10-29	100.00%	100.00%
PROJ: LAS FY2010(O&M-10) - September FY 2010 Support Activities	*	*	2010-09-01		2010-09-30		0.00%	0.00%
PROJ: LAS FY2010(O&M-10) - February FY 2010 Support Activities	\$0.5	\$0.0	2010-02-01	2010-02-01	2010-02-26		100.00%	90.00%
PROJ: LAS FY2010(O&M-10) - July FY 2010 Support Activities	*	*	2010-07-01		2010-07-30		0.00%	0.00%
PROJ: LAS FY2010(O&M-10) - January FY 2010 Support Activities	\$0.5	\$0.0	2010-01-01	2010-01-01	2010-01-29		100.00%	90.00%
PROJ: LAS FY2010(O&M-10) - May FY 2010 Support Activities	*	*	2010-05-03		2010-05-31		0.00%	0.00%
PROJ: LAS FY2010(O&M-10) - April FY 2010 Support Activities	*	*	2010-04-01		2010-04-30		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
PROJ: LAS	\$0.5	\$0.0	2010-03-01	2010-03-01	2010-03-31		60.87%	0.00%
PROJ: LAS FY2011(O&M-11) - August FY 2011 Support Activities	*	*	2011-08-02		2011-08-31		0.00%	0.00%
PROJ: LAS FY2011(O&M-11) - June FY 2011 Support Activities	*	*	2011-06-01		2011-06-30		0.00%	0.00%
PROJ: LAS FY2011(O&M-11) - December FY 2011 Support Activities	*	*	2010-12-01		2010-12-31		0.00%	0.00%
PROJ: LAS FY2011(O&M-11) - November FY 2011 Support Activities	*	*	2010-11-01		2010-11-30		0.00%	0.00%
PROJ: LAS FY2011(O&M-11) - October FY 2011 Support Activities	*	*	2010-10-01		2010-10-29		0.00%	0.00%
PROJ: LAS FY2011(O&M-11) - September FY 2011 Support Activities	*	*	2011-09-01		2011-09-30		0.00%	0.00%
PROJ: LAS FY2011(O&M-11) - February FY 2011 Support Activities	*	*	2011-02-01		2011-02-28		0.00%	0.00%
PROJ: LAS FY2011(O&M-11) - July FY 2011 Support Activities	*	*	2011-07-01		2011-08-01		0.00%	0.00%
PROJ: LAS FY2011(O&M-11) - January FY 2011 Support Activities	*	*	2011-01-03		2011-01-31		0.00%	0.00%
PROJ: LAS FY2011(O&M-11) - May FY 2011 Support Activities	*	*	2011-05-03		2011-05-31		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
PROJ: LAS	*	*	2011-04-01		2011-05-02		0.00%	0.00%
PROJ: LAS FY2011(O&M-11) - March FY 2011 Support Activities	*	*	2011-03-01		2011-03-31		0.00%	0.00%
PROJ: Microloans Automation Project FY2010(ARR A-Microloans) - Design and development for each process	*	*	2010-04-01		2010-04-14		0.00%	0.00%
PROJ: Microloans Automation Project FY2010(ARR A-Microloans) - Test and implement for each process	*	*	2010-04-14		2010-08-02		0.00%	0.00%
PROJ: Microloans Automation Project FY2010(ARR A-Microloans) - Project close	*	*	2010-09-03		2010-09-30		0.00%	0.00%
PROJ: Microloans Automation Project FY2010(ARR A-Microloans) - Optimize the 12 Microloan processes	*	*	2010-07-12		2010-09-03		0.00%	0.00%
PROJ: LAS FY2012(O&M-12) - August FY 2012 Support Activities	*	*	2012-08-01		2012-08-30		0.00%	0.00%
PROJ: LAS FY2012(O&M-12) - June FY 2012 Support Activities	*	*	2012-06-01		2012-06-29		0.00%	0.00%
PROJ: LAS FY2012(O&M-12) - December FY 2012 Support Activities	*	*	2011-12-01		2011-12-30		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
PROJ: LAS	*	*	2011-11-01		2011-11-30		0.00%	0.00%
PROJ: LAS FY2012(O&M-12) - October FY 2012 Support Activities	*	*	2011-10-03		2011-10-31		0.00%	0.00%
PROJ: LAS FY2012(O&M-12) - September FY 2012 Support Activities	*	*	2012-09-04		2012-09-28		0.00%	0.00%
PROJ: LAS FY2012(O&M-12) - February FY 2012 Support Activities	*	*	2012-02-01		2012-02-28		0.00%	0.00%
PROJ: LAS FY2012(O&M-12) - July FY 2012 Support Activities	*	*	2012-07-03		2012-07-31		0.00%	0.00%
PROJ: LAS FY2012(O&M-12) - January FY 2012 Support Activities	*	*	2012-01-03		2012-01-31		0.00%	0.00%
PROJ: LAS FY2012(O&M-12) - May FY 2012 Support Activities	*	*	2012-05-01		2012-05-31		0.00%	0.00%
PROJ: LAS FY2012(O&M-12) - April FY 2012 Support Activities	*	*	2012-04-03		2012-04-30		0.00%	0.00%
PROJ: LAS FY2012(O&M-12) - March FY 2012 Support Activities	*	*	2012-03-01		2012-03-30		0.00%	0.00%

\* - Indicates data is redacted.