



SBA Information Notice

TO: SBA Field and Center Employees, and the
Office of Capital Access

CONTROL NO.: 5000-1180

SUBJECT: Announcement of the new Lender
Supervision and Enforcement
Standard Operating Procedures
(SOP) 50 53

EFFECTIVE: 10/07/2010

The Small Business Administration's (SBA's) Office of Credit Risk Management recently issued the Lender Supervision and Enforcement SOP 50 53. The SOP was effective on October 1, 2010.

SOP 50 53 establishes SBA's procedures for supervision of and enforcement actions for SBA's 7(a) lenders, Certified Development Companies (CDCs), and Microloan Intermediaries (collectively referred to as Lending Partners), as it relates to their SBA lending operations. The SOP provides general guidance to assist OCRM in monitoring and overseeing SBA's Lending Partners. The SOP also provides guidance on enforcement actions the agency may take in response to violations of law, rules, regulations, agency notices and/or unsafe and unsound practices or conditions for these entities. SOP 50 53 also addresses monitoring of Non-Lending Technical Assistance Providers (NTAPs) along with enforcement procedures for NTAPs and Loan Agents that participate in SBA's financial assistance programs.

SOP 50 53 is intended to be flexible to take into account individual facts and circumstances. It does not intend that every factor or step must always be applied in making supervisory and enforcement decisions. SBA will use this guidance along with judgment and Agency discretion in making supervisory and enforcement determinations.

For any questions, please contact Janet Tasker, Director, Office of Risk Management at 202-205-6657.

Janet Tasker
Director, Office of Risk Management

EXPIRES: 10/1/2011

PAGE 1 of 1

SBA Form 1353.3 (4-93) MS Word Edition; previous editions obsolete
Must be accompanied by SBA Form 58